GLOBAL POWER EQUIPMENT GROUP INC.

Form SD May 17, 2016

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# UNITED STATES

Washington, D.C. 20549

SECURITIES AND EXCHANGE COMMISSION

## Form SD

#### SPECIALIZED DISCLOSURE REPORT

# **Global Power Equipment Group Inc.**

(Exact Name of Registrant as Specified in Charter)

Delaware

(State or Other Jurisdiction of Incorporation)

001-16501

(Commission File Number)

73-1541378

(IRS Employer Identification Number)

400 E. Las Colinas Boulevard, Suite 400 Irving, Texas

(Address of Principal Executive Offices)

75039

(Zip Code)

Tracy D. Pagliara

(214) 574-2700

(Name and telephone number, including area code, of the person to contact in connection with this report.)

Check the appropriate box to indicate the form applies:	rule pursuant to which this form is being filed, and provide the period to which the information in thi
x Rule 13p-1 under the Securities December 31, 2015.	Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to

This Specialized Disclosure Report on Form SD ( Form SD ) of Global Power Equipment Group Inc. ( Global Power, we, us, our, or the Company ) for the year ended December 31, 2015 is presented to comply with Rule 13p-1 under the Securities Exchange Act of 1934, as amended (the Rule ). The Rule was adopted by the United States Securities and Exchange Commission (the SEC ) to implement reporting and disclosure requirements related to conflict minerals as directed by the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010. The Rule imposes certain reporting obligations on SEC registrants whose manufactured products contain conflict minerals as defined by the Rule and paragraph (d)(3) of Form SD, which are necessary to the functionality or production of certain products manufactured by the Company. Conflict minerals presently include cassiterite, columbite-tantalite, gold, wolframite, and their derivatives, which are limited to tin, tantalum, tungsten, and gold. These requirements apply to registrants whatever the geographic origin of the conflict minerals and whether or not they fund armed conflict.

#### Section 1 CONFLICT MINERALS DISCLOSURE

#### Item 1.01 Conflict Minerals Disclosure and Report

The Company is a comprehensive provider of customer-engineered equipment, and modification and maintenance services for customers in the power generation, oil & gas, natural gas, energy, infrastructure and process and industrial markets. We design, engineer and manufacture a comprehensive range of gas and steam turbine auxiliary products, control houses and generator enclosures primarily used to enhance the efficiency and facilitate the operation of gas turbine power plants, sub-base and stand-alone tanks meeting UL listings UL142, UL2085 and ULC-S 601 and for other industrial, energy and power-related applications.

Pursuant to the Rule, the Company evaluated its current product lines and determined that certain products that the Company manufactures or contracts to manufacture contain conflict minerals as defined by the Rule and paragraph (d)(3) of Form SD, which are necessary to the functionality or production of such products. We identified the following products (collectively referred to herein as the Products ) that may contain necessary conflict minerals that we manufactured or contracted to manufacture: air filtration systems, inlet heating and cooling systems, silencing systems, exhaust and inlet ducts, diverter dampers, simple cycle SCR and CO reduction systems, expansion joints, bypass stacks, exhaust diffusers, inlet plenums, packaged control buildings, generator enclosures, base tanks, precision machined parts including specialty fasteners, rotor studs, valve components, custom bushings and other miscellaneous precision nitride parts for gas and steam turbine power generation applications, seals, shims and brackets, and complex equipment built to specification for gas turbines and other power generation equipment. Our due diligence framework and processes are discussed in the Conflict Minerals Report that is an exhibit to this Form SD.

#### **Conflict Minerals Disclosure**

A copy of the Company s Conflict Minerals Report is provided as Exhibit 1.01 hereto and is publicly available at: www.ir.globalpower.com under Corporate Governance. The information contained on our website is not incorporated by reference into this Form SD or the Conflict Minerals Report and should not be considered to be part of this Form SD or the Conflict Minerals Report.

#### Item 1.02 Exhibit

As specified in Section 2, Item 2.01 of this Form SD, the Company is hereby filing its Conflict Minerals Report as Exhibit 1.01 to this Form SD.

#### Section 2 EXHIBITS

#### Item 2.01 Exhibits

Exhibit 1.01 - Conflict Minerals Report as required by Items 1.01 and 1.02 of Form SD.

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

Global Power Equipment Group Inc.

(Registrant)

By: /s/ Tracy D. Pagliara

Tracy D. Pagliara

Senior Vice President, Chief Administrative Officer,

General Counsel and Secretary

Date: May 17, 2016

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