VISA INC. Form 3 April 28, 2016

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement VISA INC. [V] HOFFMEISTER JAMES H (Month/Day/Year) 04/20/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O VISA INC., PO BOX 8999 (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer \_Other Person (give title below) (specify below) SAN Form filed by More than One SVP, GBL CORP CONTRL & FRANCISCO, Â CAÂ 94128 Reporting Person CAO (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Class A Common Stock 4,695 D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		Securities U	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

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				Shares		(I) (Instr. 5)	
Employee Stock Option (Right to Buy)	11/05/2014	11/05/2021	Class A Common Stock	8,636	\$ 23.16	D	Â
Employee Stock Option (Right to Buy)	11/19/2015	11/19/2022	Class A Common Stock	7,736	\$ 36.4125	D	Â
Employee Stock Option (Right to Buy)	(1)	11/19/2023	Class A Common Stock	6,912	\$ 49.3475	D	Â
Employee Stock Option (Right to Buy)	(2)	11/19/2024	Class A Common Stock	6,480	\$ 62.465	D	Â
Employee Stock Option (Right to Buy)	(3)	11/19/2025	Class A Common Stock	6,627	\$ 80.15	D	Â
Restricted Stock Units	(4)	(4)	Class A Common Stock	3,743	\$ <u>(4)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
• 0	Director	10% Owner	Officer	Other	
HOFFMEISTER JAMES H					
C/O VISA INC.	â	Â	SVP, GBL CORP CONTRL & CAO	Â	
PO BOX 8999	A	А	A SVI, OBL CORF CONTRL & CAO	A	
SAN FRANCISCO, CA 94128					

### **Signatures**

\*\*Signature of Reporting Person

/s/ Sue Choi, Attorney-In-Fact 04/28/2016

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Options vest in three equal installments on each of the first three anniversaries of the date of the grant, subject to earlier vesting in full in (1) limited circumstances as specified in the award agreement. The option was granted on November 19, 2013. The first two installments vested on November 19, 2014 and 2015 respectively, and the remaining installment vests on November 19, 2016.
- Options vest in three equal installments on each of the first three anniversaries of the date of the grant, subject to earlier vesting in full in (2) limited circumstances as specified in the award agreement. The option was granted on November 19, 2014. The first installment vested on November 19, 2015, and the remaining two installments vest on November 19, 2016 and 2017, respectively.
- Options vest in three equal installments on each of the first three anniversaries of the date of the grant, subject to earlier vesting in full in limited circumstances as specified in the award agreement. The option was granted on November 19, 2015.

Reporting Owners 2

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Each restricted stock unit represents a contingent right to receive one share of Visa Inc. common stock or a cash equivalent of its value.

(4) The restricted stock units vest in three equal installments on each of the first three anniversaries of the date of the grant, subject to earlier vesting in full in limited circumstances as specified in the award agreement. The award was granted on November 19, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.