Moriarty Sean Thomas Form 3 September 08, 2017

## FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement STEPAN CO [SCL] A Moriarty Sean Thomas (Month/Day/Year) 09/01/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 22 WEST FRONTAGE ROAD (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) NORTHFIELDÂ Form filed by More than One VP & GM Polymers Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Stock D Â 6,618.027 Common Stock 3,492.809 I By ESOP II Trust Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
			Derivative	Security:	

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	02/19/2015	02/18/2023	Common Stock	518	\$ 63.11	D	Â
Stock Option (Right to Buy)	02/18/2016	02/17/2024	Common Stock	629	\$ 61.91	D	Â
Stock Option (Right to Buy)	04/29/2016	04/28/2024	Common Stock	1,332	\$ 58.22	D	Â
Stock Option (Right to Buy)	02/23/2018	02/22/2026	Common Stock	1,533	\$ 43.85	D	Â
Stock Option (Right to Buy)	02/21/2018	02/20/2027	Common Stock	949	\$ 78.58	D	Â
Stock Appreciation Right	02/19/2015	02/18/2023	Common Stock	1,208	\$ 63.11	D	Â
Stock Appreciation Right	02/18/2016	02/17/2024	Common Stock	1,888	\$ 61.91	D	Â
Stock Appreciation Right	04/29/2016	04/28/2024	Common Stock	3,997	\$ 58.22	D	Â
Stock Appreciation Right	02/23/2018	02/22/2026	Common Stock	4,598	\$ 43.85	D	Â
Stock Appreciation Right	02/21/2018	02/20/2027	Common Stock	2,848	\$ 78.58	D	Â
Performance Shares	(1)	(1)	Common Stock	1,458	\$ <u>(1)</u>	D	Â
Performance Shares	(2)	(2)	Common Stock	1,368	\$ <u>(2)</u>	D	Â
Performance Shares	(3)	(3)	Common Stock	764	\$ (3)	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships			
<b></b>	Director	10% Owner	Officer	Other
Moriarty Sean Thomas 22 WEST FRONTAGE ROAD NORTHFIELDÂ	Â	Â	VP & GM Polymers	Â

## **Signatures**

/s/ Matthew M. Rice, attorney-in-fact for Sean T. Moriarty

09/08/2017

\*\*Signature of Reporting Person

Date

Reporting Owners 2

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each performance share represents a contingent right to receive one share of Stepan Company Common Stock. The performance shares vest upon Stepan Company achieving certain financial targets by December 31, 2017.
- (2) Each performance share represents a contingent right to receive one share of Stepan Company Common Stock. The performance shares vest upon Stepan Company achieving certain financial targets by December 31, 2018.
- (3) Each performance share represents a contingent right to receive one share of Stepan Company Common Stock. The performance shares vest upon Stepan Company achieving certain financial targets by December 31, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.