Edgar Filing: LEBOVITZ STEPHEN D - Form 4

LEBOVITZ STEPHEN D

Form 4

December 20, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

Expires:

3235-0287 January 31,

2005

0.5

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average

OMB APPROVAL

burden hours per

response...

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person * LEBOVITZ STEPHEN D			2. Issuer Name and Ticker or Trading Symbol CBL & ASSOCIATES PROPERTIES INC [CBL]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
(Last) 2030 HAMILT SUITE 500	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 12/18/2017	_X_ Director 10% Owner Other (specify below) President and CEO		
CHATTANOO	(Street) OGA, TN 37	/4216000	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any	3. Transaction	4. Securit on(A) or Di (D)		•	5. Amount of Securities Beneficially	6. Ownership Form:	7. Nature of Indirect Beneficial Ownership	
		(Month/Day/Year)	(Instr. 8) Code V	(Instr. 3,	(A) or	5) Price	Owned Following Reported Transaction(s) (Instr. 3 and 4)	Direct (D) or Indirect (I) (Instr. 4)	(Instr. 4)	
Common Stock	12/18/2017		F	11,928	D	\$ 5.6	921,158.91	D (1)		
Common Stock							1,150	I (2)	By Spouse	
Common Stock							10,383.452	I (2)	By Trust	
Common Stock							35,614.278	I (2)	UGMA/UTMA Cust for Son	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: LEBOVITZ STEPHEN D - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 8. Pr Deri Secu (Inst

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Transaction Date 3A. Deemed 4. 5. 6. Date Exercisa Month/Day/Year) Execution Date, if any (Month/Day/Year) (Code of (Month/Day/Year) (Month/Day/Year) Expiration Date (Month/Day/Year) (Instr. 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 II 9 (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Common Units	<u>(3)</u>					<u>(4)</u>	11/03/2043	Common Stock	480,297	
Common Units	<u>(3)</u>					<u>(4)</u>	11/03/2043	Common Stock	49,365	
Common Units	<u>(3)</u>					<u>(4)</u>	11/03/2043	Common Stock	9,650	

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

LEBOVITZ STEPHEN D

2030 HAMILTON PLACE BLVD., SUITE 500 X President and CEO

CHATTANOOGA, TN 374216000

Signatures

/s/ Jeffery V. Curry, attorney-in-fact for Stephen D.
Lebovitz

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Additionally, the Reporting Person owns a limited partnership interest in CBL & Associates Limited Partnership, a Delaware limited
 (1) partnership, that may be exchanged at any time for an aggregate of 480,297 shares of the Issuer's Common Stock (on a one-for-one basis) or cash, at the Issuer's election.

Reporting Owners 2

Edgar Filing: LEBOVITZ STEPHEN D - Form 4

- (2) The Reporting Person disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.
- (3) The Common units are exercisable on a 1 to 1 ratio with no exercise price.
- (4) Immediately exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.