#### **BOSTON BEER CO INC**

Form 4

March 10, 2014

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

Common

1. Name and Address of Reporting Person \* **KOCH C JAMES** 

(Middle)

C/O THE BOSTON BEER COMPANY, ONE DESIGN CENTER PLACE, SUITE 850

BOSTON, MA 02210

(Street)

(First)

2. Issuer Name and Ticker or Trading Symbol

**BOSTON BEER CO INC [SAM]** 

3. Date of Earliest Transaction (Month/Day/Year) 11/05/2013

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(Check all applicable)

\_X\_\_ Director X\_\_ 10% Owner X\_ Officer (give title Other (specify below) Chairman

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of 6. Ownership 7. Nature of 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 8) (Instr. 3, 4 and 5) Owned Ownership (Month/Day/Year) Indirect (I) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Price Code V Amount (D) Class A By Trust as  $G^{(1)}$ 11/05/2013 5,000 \$0 Ι Α 5,000 Common Trustee Class A 25,000 D Common By Spouse Class A in Trust 135,000 I under Common **GRAT** Class A Custodian 23,486 I

for children

### Edgar Filing: BOSTON BEER CO INC - Form 4

			under UGTMA			
Class A Common	3,656	I	By spouse as custodian for children under UGTMA			
Class A Common	2,532	I	By spouse in trust for children			
Class A Common	44,248	I	By LLC managed by spouse			
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.						

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	<b>.</b>	ate	7. Tit Amou Under Secur (Instr	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secun Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
. 0	Director	10% Owner	Officer	Other	
KOCH C JAMES					
C/O THE BOSTON BEER COMPANY	X	X	Chairman		
ONE DESIGN CENTER PLACE, SUITE 850	Λ	Λ	Chairman		
BOSTON, MA 02210					

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### **Signatures**

Kathleen H. Wade under POA for the benefit of C. James
Koch
03/10/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - The estate of Mr. Koch's father transferred 5,000 shares via probate into a trust for the benefit of Mr. Koch on November 5, 2013. Mr.
- (1) Koch was not made aware of this transaction until a later date, and as such this filing is being filed late. The amounts of securities beneficially owned are current as of March 10, 2014, the reporting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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