#### Edgar Filing: STERLING CONSTRUCTION CO INC - Form 4

### STERLING CONSTRUCTION CO INC

Form 4

November 12, 2015

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

burden hours per

response...

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

0.5

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

11/09/2015

Stock

1. Name and Address of Reporting Person * BALLSCHMIEDE RONALD A			2. Issuer Name and Ticker or Trading Symbol STERLING CONSTRUCTION CO					CO	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
(Last)	(First) (M		INC [STRL]  3. Date of Earliest Transaction						Director 10% Owner				
1800 HUGHES LANDING BLVD.			(Month/Day/Year) 11/09/2015						X_ Officer (give title Other (specify below)   EVP & CFO				
	4	4. If Amendment, Date Original						6. Individual or Joint/Group Filing(Check					
F				Filed(Month/Day/Year)					Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
THE WOODLANDS, TX 77380													
(City)	(State)	(Zip)	Tabl	e I - No	n-D	erivative Se	curitio	es Acq	uired, Disposed o	f, or Beneficial	ly Owned		
1.Title of	2. Transaction Date	2A. Deeme	ed	3.		4. Securitie	s Acqı	iired	5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/Year)	Execution	Date, if	Date, if Transaction		on(A) or Disposed of (D)			Securities	Form: Direct	Indirect		
(Instr. 3) any (Month/Day)			Code (Instr. 3, 4 and 5) Day/Year) (Instr. 8)					Beneficially (D) or Beneficial Owned Indirect (I) Ownersh Following (Instr. 4) (Instr. 4)					
				Code	V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)				
Common	11/00/2015			٨		100,000	٨	\$0	100.000	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Α

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

100,000

(1)

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(1)

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1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transactio	5. orNumber	6. Date Exerc Expiration D		7. Title at Amount of		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security		(Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Underlyin Securities (Instr. 3 a	ng s	(Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	or Title Nu of	nount umber uares		

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BALLSCHMIEDE RONALD A 1800 HUGHES LANDING BLVD. THE WOODLANDS, TX 77380

**EVP & CFO** 

# **Signatures**

Roger M. Barzun under a Power of Attorney

11/12/2015

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were awarded to the Reporting Person by the Company in connection with his joining the Company. The shares are subject to restrictions on their sale or other transfer that lapse over a two-year period, and to forfeiture under certain circumstances.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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