Edgar Filing: 683 Capital Management, LLC - Form 3/A

683 Capital Management, LLC Form 3/A February 16, 2018 **FORM 3** UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Â 683 Capital Management, LLC			2. Date of Event Requiring Statement (Month/Day/Year) 02/08/2018		3. Issuer Nar Onconova				
(Last)	(First)	rst) (Middle)			4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)	
3 COLUMB	US							02/12/2018	
CIRCLE, S	SUITE 220	05			(Check	k all applicat	ole)		
(Street)					DirectorX 10% Owner			6. Individual or Joint/Group	
				OfficerOther (give title below) (specify below)			Filing(Check Applicable Line) _X_Form filed by One Reporting Person		
NEW YORI	K,A NYA	10019						Form filed by More than One Reporting Person	
(City)	(State)	(Zip)		Table I - N	lon-Deriva	tive Secur	ities Be	eneficially Owned	
1.Title of Secur (Instr. 4)	ity			2. Amount of Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	o Owno (Instr	ture of Indirect Beneficial ership : 5)	
Common Ste	ock, par va	alue \$0.01 p	ber share (1)	2,464,934		I <u>(2)</u>	By 6	583 Capital Partners, LP	
Reminder: Repo owned directly			ach class of sec	urities benefici	ially	SEC 1473 (7	-02)		
	inforr requi	mation conta red to respo	pond to the c ained in this ond unless th MB control n	form are not le form displ					
Т	able II - De	rivative Secu	rities Benefici	ally Owned (e.	.g., puts, calls	s. warrants.	options. c	convertible securities)	

1. Title of Derivative	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of
Security	Expiration Date	Securities Underlying	Conversion	Ownership	Indirect Beneficial
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
			Derivative	Security:	

3235-0104

January 31,

2005

0.5

Number:

Expires:

response...

Estimated average burden hours per

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Series A Convertible Preferred Stock Purchase Warrant	02/12/2018	12/31/2019	Series A Convertible Preferred Stock (3)	200,000	\$ 10.1 (4)	I <u>(1)</u> <u>(2)</u>	By 683 Capital Partners, LP

Reporting Owners

Reporting Owner Name / Address		Relationsi		
L O	Director	10% Owner	Officer	Other
683 Capital Management, LLC 3 COLUMBUS CIRCLE SUITE 2205 NEW YORK, NY 10019	Â	ÂX	Â	Â
Signatures				

Deletionshing

/s/ Ari Zweiman, Managing Memb of 683 Capital Partners, LP	per of 683 Capital GP, LLC, in its capacity as general partner	02/16/2018		
	**Signature of Reporting Person	Date		
/s/ Ari Zweiman, Managing Member of 683 Capital Management, LLC				
	**Signature of Reporting Person	Date		
/s/ Ari Zweiman		02/16/2018		
	<u>**</u> Signature of Reporting Person	Date		

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This Form 3/A is being filed jointly by 683 Capital Management, LLC ("683 Management"), 683 Capital Partners, LP ("683 Partners") and Ari Zweiman (collectively, the "Reporting Persons") to correct the original Form 3 filed on February 12, 2018. Each of the Reporting

(1) Persons may be deemed to be a member of a Section 13(d) group that collectively owns more than 10% of the Issuer's outstanding shares of Common Stock. Each of the Reporting Persons disclaims beneficial ownership of the securities reported herein except to the extent of his or its pecuniary interest therein.

Represents securities held directly by 683 Partners. 683 Management is the investment manager of 683 Partners. Ari Zweiman is the (2) Managing Member of 683 Management. As a result, each of 683 Management and Ari Zweiman may be deemed to beneficially own the

- (2) Managing Member of 683 Management. As a result, each of 683 Management and Ari Zweiman may be deemed to beneficially own the securities held by 683 Partners.
- (3) Each share of Series A Convertible Preferred Stock of the Issuer is convertible into 10 shares of Common Stock of the Issuer, subject to adjustment.
- (4) The exercise price of the Series A Convertible Preferred Stock Purchase Warrant is \$1.01 per 0.1 shares of Series A Convertible Preferred Stock, or \$10.10 per share of Series A Convertible Preferred Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.