Anholt Investments Ltd. Form 4

May 09, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Anholt Investments Ltd.

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

**Compass Diversified Holdings** 

(Check all applicable)

[CODI]

05/07/2018

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

Director \_X\_\_ 10% Owner \_\_ Other (specify Officer (give title below)

69 PITTS BAY

ROAD, BELVEDERE BUILDING -4TH FLOOR

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

PEMBROKE, D0 HM08

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit on(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Shares (1)	05/07/2018		P	87,690	A	\$ 15.68	8,018,690	I (2) (3)	By CGI Diversified Holdings, LP
Series A Preferred Shares (4)	05/07/2018		P	25,100	A	\$ 20.44	25,100	I (2) (3)	By CGI Diversified Holdings, LP
Series B Preferred	05/07/2018		P	25,100	A	\$ 22.59	25,100	I (2) (3)	By CGI Diversified

Shares (5)							Holdings, LP
Common Shares (1)	05/08/2018	P	34,158 A	\$ 15.93	8,052,848	I (2) (3)	By CGI Diversified Holdings, LP
Series A Preferred Shares (4)	05/08/2018	P	24,900 A	\$ 21.1	50,000	I (2) (3)	By CGI Diversified Holdings, LP
Series B Preferred Shares (5)	05/08/2018	P	28,000 A	\$ 22.26	53,100	I (2) (3)	By CGI Diversified Holdings, LP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Titl	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	iorNumber	Expiration Da	ate	Amou	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)
	Derivative				Securities			(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
						Date	Expiration	m: 1	or	
						Exercisable Date	Date	Title	Number	
				G 1 T	(A) (B)				of	
				Code V	(A) $(D)$				Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Topotting o mile i mile / i mule o	Director	10% Owner	Officer	Other		
Anholt Investments Ltd. 69 PITTS BAY ROAD BELVEDERE BUILDING - 4TH FLOOR PEMBROKE, D0 HM08		X				

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X

CGI Diversified Holdings, LP 69 PITTS BAY ROAD

BELVEDERE BUILDING - 4TH FLOOR

PEMBROKE, D0 HM08

Navco Management, Ltd.

69 PITTS BAY ROAD
BELVEDERE BUILDING - 4TH FLOOR

PEMBROKE, D0 HM08

Path Spirit LTD

10 NORWICH STREET X

LONDON, X0 EC4A 1BD

## **Signatures**

ANHOLT INVESTMENTS LTD., By: /s/ Cora Lee Starzomski, Director

05/09/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Common Share represents one undivided beneficial interest in Compass Diversified Holdings (the "Trust") property and corresponds to one trust interest of Compass Group Diversified Holdings LLC (the "Company") held by the Trust.
  - The Common Shares and Preferred Shares are owned directly by CGI Magyar Holdings, LLC, which is owned by Anholt Services (USA), Inc., and CGI Diversified Hungary Kft.. Anholt Services (USA), Inc. is owned by Anholt Investments Ltd. (formerly known as Compass Group Investments, Ltd.). CGI Diversified Hungary Kft. is owned by CGI Diversified Holdings, LP. CGI Diversified Holdings,
- (2) LP is owned by Anholt Investments Ltd., its sole limited partner, and Navco Management, Ltd., its general partner. Anholt Investments Ltd. and Navco Management, Ltd. are wholly owned by Kattegat Limited, a Bermudian exempt company with its principal offices at Belvedere Building, 69 Pitts Bay Road, Pembroke HM 08, Bermuda. Kattegat Limited was formed for the purpose of holding and managing the endowed assets of The Kattegat Trust and is wholly owned by The Kattegat Trust.
  - The Kattegat Trust is a Bermudian charitable trust, engaged in the principal business of distributing income for charitable purposes, with its principal offices at Wessex House, 5th Floor, 45 Reid St., Hamilton HM12. The trustee of The Kattegat Trust is Kattegat Private Trustees (Bermuda) Limited (the "Trustee"), a Bermudian trust company with its principal offices at Wessex House, 5th Floor, 45 Reid
- (3) St., Hamilton HM12. Path Spirit Limited is the trust protector for The Kattegat Trust. The Trustee is wholly owned by The Lund Purpose Trust, a Bermudian purpose trust with its principal offices at Thistle House, 4 Burnaby Street, Hamilton HM 11, Bermuda, formed for the sole purpose of holding the shares of the Trustee. Anholt Investments Ltd., Navco Management, Ltd., Path Spirit Limited, Anholt Services (USA), Inc., CGI Diversified Hungary Kft. and CGI Magyar Holdings, LLC disclaim beneficial ownership of the Shares, except to the extent of their pecuniary interest therein.
- (4) Each Series A Preferred Share corresponds to one underlying trust preferred interest of the Company held by the Trust of the same class and series, and with corresponding rights, powers and duties, as the Series A Preferred Shares.
- (5) Each Series B Preferred Share corresponds to one underlying trust preferred interest of the Company held by the Trust of the same class and series, and with corresponding rights, powers and duties, as the Series B Preferred Shares.

#### **Remarks:**

Exhibit 99.3 - Joint Filer Information - Exhibit 99.4 - Supplemental Joint Filer Information

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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