SARLES H JAY Form 4/A February 24, 2006

### FORM 4

Check this box

if no longer

subject to

Section 16.

Form 4 or

obligations

may continue.

See Instruction

Form 5

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

**OMB APPROVAL** 

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

SARLES H JAY

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to Issuer

AMERIPRISE FINANCIAL INC

(Check all applicable)

[AMP]

(Last) (First) 3. Date of Earliest Transaction

(Month/Day/Year)

10/07/2005

X\_ Director 10% Owner Officer (give title Other (specify

GENERAL COUNSEL'S OFFICE, 50591 AMERIPRISE

FINANCIAL CENTER

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

10/11/2005

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

below)

MINNEAPOLIS, MN 55474

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Middle)

Code (Month/Day/Year) (Instr. 8)

3.

4. Securities TransactionAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership

(Instr. 4)

(Instr. 4)

(A)

Reported Transaction(s) (Instr. 3 and 4)

Following

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: SARLES H JAY - Form 4/A

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transact<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |     | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                  |
|---|---|--------------------------------------|---|--------------------------------------|---|-----|--|-----------------|---|----------------------------------|
|   |   |                                      |   | Code V                               | (A)   | (D) | Date<br>Exercisable                                      | Expiration Date | Title   | Amount or<br>Number of<br>Shares |
| Phantom Stock (1)                                   | <u>(2)</u>  | 10/07/2005                           |   | A                                    | 2,216.05  |     | (3)  | (3)             | Common<br>Stock   | 2,216.05                         |

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

SARLES H JAY
GENERAL COUNSEL'S OFFICE
50591 AMERIPRISE FINANCIAL CENTER
MINNEAPOLIS, MN 55474

## **Signatures**

/s/ Laura M. Imholte for H. Jay Sarles 02/24/2006

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

X

- (1) The phantom stock award was incorrectly reported on Table I in the original Form 4 filing. This amended Form 4 is filed for the purpose of properly reporting the grant of the phantom stock on Table II.
- (2) Each share of phantom stock represents the right to receive one share of Ameriprise Financial, Inc. common stock.
- (3) Distributions of Ameriprise Financial, Inc. Deferred Stock Units are made in accordance with distribution elections made by plan participants in the manner permitted by the Ameriprise Financial Deferred Share Plan for Outside Directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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