Edgar Filing: MERRIMACK PHARMACEUTICALS INC - Form 3

MERRIMACK PHARMACEUTICALS INC

Form 3

February 06, 2015

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement MERRIMACK PHARMACEUTICALS INC [MACK] Schoeberl Birgit M. (Month/Day/Year) 01/30/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O MERRIMACK (Check all applicable) PHARMACEUTICALS, INC., ONE KENDALL 10% Owner Director **SQUARE, SUITE B7201** _X__ Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group Head of Discovery Filing(Check Applicable Line) _X_ Form filed by One Reporting Person CAMBRIDGE, MAÂ 02139 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (Instr. 5) Â Common Stock 3,600 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
2. Date Exercisable and General Securities Underlying Conversion Ownership Ownership Derivative Security
3. Title and Amount of Securities Underlying Conversion Ownership Own

Edgar Filing: MERRIMACK PHARMACEUTICALS INC - Form 3

	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	(1)	08/03/2015	Common Stock	30,000	\$ 1.71	D	Â
Stock Option (right to buy)	(1)	09/22/2018	Common Stock	12,234	\$ 1.81	D	Â
Stock Option (right to buy)	(1)	11/05/2019	Common Stock	78,000	\$ 2.12	D	Â
Stock Option (right to buy)	(1)	08/01/2016	Common Stock	50,000	\$ 2.12	D	Â
Stock Option (right to buy)	(1)	10/04/2017	Common Stock	50,000	\$ 2.12	D	Â
Stock Option (right to buy)	(1)	10/15/2020	Common Stock	50,000	\$ 2.69	D	Â
Stock Option (right to buy)	(1)	05/03/2021	Common Stock	30,000	\$ 5.54	D	Â
Stock Option (right to buy)	(2)	08/22/2022	Common Stock	33,500	\$ 7.53	D	Â
Stock Option (right to buy)	(3)	03/11/2023	Common Stock	100,000	\$ 6.35	D	Â
Stock Option (right to buy)	(4)	02/10/2024	Common Stock	75,000	\$ 5.02	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
. 3	Director	10% Owner	Officer	Other	
Schoeberl Birgit M. C/O MERRIMACK PHARMACEUTICALS, INC. ONE KENDALL SQUARE, SUITE B7201 CAMBRIDGE, MA 02139	Â	Â	Head of Discovery	Â	

Date

Signatures

/s/ Jeffrey A. Munsie, attorney-in-fact 02/06/2015

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

Edgar Filing: MERRIMACK PHARMACEUTICALS INC - Form 3

- (1) This option is fully vested.
- (2) This option vested as to 1/12th of the total number of shares on 11/23/12 and an additional 1/12th of the total number of shares at the end of each successive three month period thereafter until 8/23/15.
- (3) This option vested as to 1/12th of the total number of shares on 6/12/13 and an additional 1/12th of the total number of shares at the end of each successive three month period thereafter until 3/12/16.
- (4) This option vested as to 1/12th of the total number of shares on 5/11/14 and an additional 1/12th of the total number of shares at the end of each successive three month period thereafter until 2/11/17.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.