

POLLEMA STEVEN C

Form 3

December 17, 2018

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

POLLEMA STEVEN C

(Last) (First) (Middle)

9197 S. PEORIA STREET

(Street)

ENGLEWOOD, CO 80112

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

12/13/2018

3. Issuer Name and Ticker or Trading Symbol  
TTEC Holdings, Inc. [TTEC]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer ☐ Other  
(give title below) (specify below)  
EVP, TTEC Dig. Tech. (CTS)

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities Beneficially Owned  
(Instr. 4)3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock

11,681 <sup>(1)</sup>

D

A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and Expiration Date  
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

Title Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:  
Direct (D)  
or Indirect6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

# Edgar Filing: POLLEMA STEVEN C - Form 3

				Shares		(I) (Instr. 5)	
Restricted Stock Units	Â (2)	Â (2)	Common Stock	2,770	\$ 0	D	Â
Restricted Stock Units	Â (3)	Â (3)	Common Stock	5,726	\$ 0	D	Â
Restricted Stock Units	Â (4)	Â (4)	Common Stock	19,330	\$ 0	D	Â
Restricted Stock Units	Â (5)	Â (5)	Common Stock	10,840	\$ 0	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
POLLEMA STEVEN C 9197 S. PEORIA STREET ENGLEWOOD,Â COÂ 80112	Â	Â	Â EVP, TTEC Dig. Tech. (CTS)	Â

## Signatures

/s/ Margaret B. McLean, Attorney-in-Fact for Steven C. Pollema

12/17/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The Reporting Person was previously designated as a Section 16 filer from February 17, 2016 to February 28, 2018. The Reporting Person has been re-designated as a Section 16 filer beginning December 13, 2018.
- (2) The Reporting Person received 11,078 time-based RSUs on July 1, 2015. The RSUs vest 25% per year beginning July 1, 2016.
- (3) The Reporting Person received 11,452 time-based RSUs on July 1, 2016. The RSUs vest 25% per year beginning July 1, 2017.
- (4) The Reporting Person received 25,773 time-based RSUs on March 29, 2017. The RSUs vest 25% per year beginning March 29, 2018.
- (5) The Reporting Person received 10,840 time-based RSUs on June 15, 2018. The RSUs vest 25% per year beginning June 15, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.