

Bank of New York Mellon CORP
Form 4
July 03, 2007

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
VON SCHACK WESLEY W

(Last) (First) (Middle)

ENERGY EAST
CORPORATION, P.O. BOX 5224
18 LINK DRIVE

(Street)

BINGHAMTON, NY 13902-5224

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
Bank of New York Mellon CORP
[BK]

3. Date of Earliest Transaction
(Month/Day/Year)
07/01/2007

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	07/01/2007		A	97,843.39	A 1 97,843.39	D	
Common Stock	07/01/2007		A	4,515	A 1 4,515	I	Keough IRA Account

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
required to respond unless the form
displays a currently valid OMB control**

SEC 1474
(9-02)

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Security (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Share
Option - 2000 - Right to Buy	\$ 33.6875	07/01/2007		A		3,300		04/24/2001 ⁽²⁾	04/23/2010	Common Stock	3,300
Option - 2001 - Right to Buy	\$ 42.5	07/01/2007		A		3,300		04/20/2002 ⁽²⁾	04/19/2011	Common Stock	3,300
Option - 2002 - Right to Buy	\$ 38.61	07/01/2007		A		3,300		04/19/2003 ⁽²⁾	04/18/2012	Common Stock	3,300
Option - 2003 - Right to Buy	\$ 24.83	07/01/2007		A		3,300		04/21/2004 ⁽²⁾	04/20/2013	Common Stock	3,300
Option - 2004 - Right to Buy	\$ 31.18	07/01/2007		A		3,300		04/23/2005 ⁽²⁾	04/22/2014	Common Stock	3,300
Option - 2005 - Right to Buy	\$ 27.45	07/01/2007		A		3,300		04/22/2006 ⁽²⁾	04/21/2015	Common Stock	3,300
Deferred Share Units	⁽³⁾	07/01/2007		A		4,250.35		⁽⁴⁾	⁽⁴⁾	Common Stock	4,250.35

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

VON SCHACK WESLEY W
ENERGY EAST CORPORATION
P.O. BOX 5224 18 LINK DRIVE
BINGHAMTON, NY 13902-5224

X

Signatures

/s/ Arlie R. Nogay,
Attorney-in-Fact

07/03/2007

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquired in exchange for an equal number of shares of Mellon Financial Corporation ("MFC") common stock pursuant to the merger of MFC into The Bank of New York Mellon Corporation (the "Merger").
- (2) The options, which are vested, were acquired in the Merger in exchange for an equal number of MFC options.
- (3) 1-for-1.
- (4) The deferred share units were acquired in the Merger in exchange for an equal number of deferred share units of MFC. 2321.35 of the deferred share units vested on April 17, 2007. The remainder of the deferred share units vest on the date of BNY Mellon's 2008 Annual Meeting of Shareholders. Vested deferred share units are payable in shares of common stock on the 30th day following the grantee's termination of service as a director. Deferred share units pay dividend equivalents which are reinvested in additional deferred share units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.