Edgar Filing: AMERICAN STATES WATER CO - Form 4

AMERICAN STATES WATER CO

Form 4

August 13, 2008

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

OMB 3235-0287

OMB APPROVAL

Number:

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person * **WICKS FLOYD E**

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

AMERICAN STATES WATER CO

(Check all applicable)

[AWR]

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year)

Director

X_ Officer (give title below)

10% Owner Other (specify

(Street)

(State)

(First)

08/11/2008

6. Individual or Joint/Group Filing(Check

CEO and President

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

1647 POSILIPO LANE APT. E

4. If Amendment, Date Original Filed(Month/Day/Year)

SANTA BARBARA, CA 93108

Table 1	[- Non-]	Derivative	Securities	Acquired.	Disposed	of, or	Beneficiall	v Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Transaction Date 2A. Deemed Ionth/Day/Year) Execution Date, if any (Month/Day/Year)		4. Securities oner Dispose (Instr. 3, 4	d of (Ľ))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/01/2008		Code V A	Amount 44.6129 (1)	(D)	Price \$ 32.55	8,404.2494	D	
Common Stock	06/01/2008		A	43.3571 (2)	A	\$ 33.75	8,447.6065	D	
Common Stock	08/11/2008		M	2,548	A	\$ 25.92	10,995.6065	D	
Common Stock	08/11/2008		S	2,548	D	\$ 42	8,447.6065	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4. 5. Number		6. Date Exercisable and		7. Title and Amount of	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction of Derivative		Expiration Date		Underlying Securities	
Security	or Exercise		any	Code Securities		(Month/Day/Year)		(Instr. 3 and 4)	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired				
	Derivative				(A) or				
	Security				Disposed of				
					(D)				
				(Instr. 3, 4,					
					and 5)				
									Amount
									or
						Date	Expiration	Title	Number
						Exercisable	Date		of
				Code V	(A) (D)				Shares
Common	\$ 25.92	09/11/2009		M	2 5 4 9	01/02/2008	01/02/2015	Common	2 5 4 9
Stock	\$ 23.92	08/11/2008		M	2,548	01/02/2008	01/02/2013	Stock	2,548

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

WICKS FLOYD E 1647 POSILIPO LANE APT. E SANTA BARBARA, CA 93108

CEO and President

Signatures

/s/ Floyd E. 08/13/2008 Wicks

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) DER units credited on 3/1/2008 as dividend at FMV
- (2) DER units credited on 6/1/2008 as dividend at FMV

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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