

ANDERSON JAMES L

Form 4

May 21, 2010

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ANDERSON JAMES L

2. Issuer Name **and** Ticker or Trading  
Symbol  
AMERICAN STATES WATER CO  
[AWR]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
2438 BATTERING ROCK ROAD  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
05/19/2010

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

TEMPLETON, CA 93465

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Ownership<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|---|---|--------------------------------------|---|--|---|--|
|                                       |   |   | Code                                 | V   | Amount<br>(1)<br>or<br>(D)<br>Price  |   |  |
| Common<br>Stock                       | 08/11/2009                              |   | A                                    |   | 63.4979<br>(1)<br>\$ 34.97   | 14,682.5782   | D  |
| Common<br>Stock                       | 11/12/2009                              |   | A                                    |   | 71.3672<br>(2)<br>\$ 32.59   | 14,753.9454   | D  |
| Common<br>Stock                       | 12/31/2009                              |   | A                                    |   | 133 (3)<br>\$ 0  | 14,885.9571   | D  |
| Common<br>Stock                       | 02/12/2010                              |   | A                                    |   | 73.1484<br>(4)<br>\$ 32.05   | 14,959.1055   | D  |
| Common<br>Stock                       | 05/12/2010                              |   | A                                    |   | 60.9287<br>(5)<br>\$ 38.79   | 15,020.0342   | D  |

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|              |            |   |                    |   |          |             |   |
|--------------|------------|---|--------------------|---|----------|-------------|---|
| Common Stock | 05/19/2010 | A | 432 <sup>(6)</sup> | A | \$ 36.23 | 15,452.0342 | D |
| Common Stock | 05/20/2010 | A | 206 <sup>(7)</sup> | A | \$ 34.09 | 15,658.0342 | D |
| Common Stock | 05/22/2010 | A | 196 <sup>(8)</sup> | A | \$ 33.87 | 15,854.0342 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares  |

## Reporting Owners

| Reporting Owner Name / Address                                      | Relationships                    |
|---|----------------------------------|
|   | Director 10% Owner Officer Other |
| ANDERSON JAMES L<br>2438 BATTERING ROCK ROAD<br>TEMPLETON, CA 93465 | X                                |

## Signatures

/s/ James L.  
Anderson 05/21/2010

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) DER units credited on 8/11/2009 as dividend at FMV
- (2) DER units credited on 11/12/2009 as dividend at FMV
- (3) Updated DRIP Plan
- (4) DER units credited on 02/12/2010 as dividend at FMV
- (5) DER units credited on 05/12/2010 as dividend at FMV
- (6) RSU's Awarded 05/19/2010
- (7) RSU's Awarded 05/20/2010
- (8) RSU's Awarded 05/22/2010

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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