Edgar Filing: AMERICAN STATES WATER CO - Form 4

AMERICAN STATES WATER CO

Form 4

November 16, 2011

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005

0.5

Estimated average burden hours per

response...

5 Relationship of Reporting Person(s) to

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

1. Name and Address of Reporting Person *

11/15/2011

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

2 Jasuar Nama and Tiakar or Trading

1(b).

Common

Shares

(Print or Type Responses)

		ARROW GLADYS				ATES WATER CO	Issuer (Check all applicable)				
	(Last) (First) (Middle)		Middle)	3. Date of (Month/D	Earliest Tr	ansaction	DirectorX Officer (give below)		Owner r (specify		
	3825 CANFIELD ROAD			11/15/2011			Vice President				
(Street)				4. If Ame	ndment, Da	te Original	6. Individual or Joint/Group Filing(Check				
				Filed(Mor	th/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person				
PASADENA, CA 91107							Form filed by More than One Reporting Person				
(City) (State) (Zip)			(Zip)	Tabl	e I - Non-D	Perivative Securities Acq	quired, Disposed of, or Beneficially Owned				
	1.Title of	2. Transaction Dat		med	3.	4. Securities Acquired	5. Amount of	6. Ownership			
	Security	(Month/Day/Year)	Execution	on Date, if	Transactio	on(A) or Disposed of (D)	Securities	Form: Direct	Indirect		
	(Instr. 3)		any		Code	(Instr. 3, 4 and 5)	Beneficially	(D) or	Beneficial		
			(Month/	Day/Year)	(Instr. 8)		Owned	Indirect (I)	Ownership		
							Following	(Instr. 4)	(Instr. 4)		
						(A)	Reported				
						(A) Or	Transaction(s)				

Code V Amount

64

S

or

(D)

D

Price

35.47

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

(Instr. 3 and 4)

4,648.2289

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Titl		8. Price of	9. Nu
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transact Code (Instr. 8)	orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	:		Amou Under Securi (Instr.	lying	Derivative Security (Instr. 5)	Deriv Secur Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

FARROW GLADYS 3825 CANFIELD ROAD PASADENA, CA 91107

Vice President

Signatures

/s/ Gladys
Farrow

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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