WisdomTree Investments, Inc.

Form 4

August 28, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

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January 31, 2005

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obligations may continue.

(Middle)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Steinberg Jonathan L

2. Issuer Name and Ticker or Trading

Symbol

WisdomTree Investments, Inc.

[WETF]

(Check all applicable)

5. Relationship of Reporting Person(s) to

380 MADISON AVENUE, 21ST

(First)

FLOOR

(Last)

3. Date of Earliest Transaction

(Month/Day/Year)

X Director X_ Officer (give title below)

Issuer

10% Owner Other (specify

08/26/2013

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Chief Executive Officer

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10017

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative S	ecurit	ies Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securities Acquired (A) our Disposed of (D) (Instr. 3, 4 and 5) (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/26/2013		Code V M	Amount 187,500	(D)	Price \$ 0.03	4,471,451 (2)	D	
Common Stock	08/26/2013		S	37,500	D	\$ 12.04 (3)	4,433,951 (2)	D	
Common Stock	08/27/2013		S	37,500	D	\$11.5 (4)	4,396,451 <u>(2)</u>	D	
Common Stock	08/28/2013		S	37,500	D	\$ 11.04 (5)	4,358,951 (2)	D	

Common Stock 798 I Owned by Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 0.03	08/26/2013		M		187,500	<u>(1)</u>	03/16/2014	Common Stock	187,500

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Steinberg Jonathan L 380 MADISON AVENUE 21ST FLOOR NEW YORK, NY 10017

X

Chief Executive Officer

Signatures

/s/ Peter M. Ziemba, Attorney-in-Fact 08/28/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercisable as to 300,000 shares each on March 17, 2005, 2006, 2007, 2008 and 2009 and as to 1,500,000 additional shares at such time as the issuer has achieved at least \$1.00 in net income in two consecutive fiscal quarters.
- (2) Includes restricted stock vesting as to 150,841 shares on January 22, 2014 and as to 75,421 shares on each of January 22, 2015 and 2016.

(3)

Reporting Owners 2

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The price included in Column 4 is a weighted price. These shares were sold in multiple transactions at prices ranging from \$12.00 to \$12.12, inclusive. The reporting person undertakes to provide to WisdomTree Investments, Inc., any security holder of WisdomTree Investments, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnotes (3), (4) and (5) to this Form 4.

- (4) The price in Column 4 is a weighted price. These shares were sold in multiple transactions at prices ranging from \$11.10 to \$11.76, inclusive.
- (5) The price in Column 4 is a weighted price. These shares were sold in multiple transactions at prices ranging from \$11.00 to \$11.07, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.