**MOSAIC CO** Form 4 July 23, 2014

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005 Estimated average

0.5

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

1(b).

(Last)

(City)

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1. Name and Address of Reporting Person \* Precourt Walter F. III

(First)

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Middle)

(Zip)

MOSAIC CO [MOS] 3. Date of Earliest Transaction

(Check all applicable)

C/O THE MOSAIC COMPANY, 3033 CAMPUS DRIVE, SUITE E490

(Month/Day/Year) 07/21/2014

Director 10% Owner X\_ Officer (give title Other (specify below)

Senior VP - Potash Operations

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

PLYMOUTH, MN 55441

(enj)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	Fransaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	07/21/2014		M	826	A	\$ 0	2,537	D	
Common Stock	07/21/2014		A	532 (1)	A	\$ 0	3,069	D	
Common Stock	07/21/2014		F(2)	704	D	\$ 47.23	2,365	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	orof Deri Secu Acqu (A) of Disp of (I	vative rities uired or osed 0) r. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 49.66						(3)	10/08/2019	Common Stock	3,419
Stock Option (Right to Buy)	\$ 44.93						(3)	07/27/2020	Common Stock	3,657
Stock Option (Right to Buy)	\$ 70.62						<u>(3)</u>	07/21/2021	Common Stock	1,884
Restricted Stock Units	\$ 0 (4)	07/21/2014		M		826	07/21/2014	<u>(5)</u>	Common Stock	826
Restricted Stock Units	\$ 0 (4)						07/19/2015	<u>(5)</u>	Common Stock	4,628
Restricted Stock Units	\$ 0 (4)						07/18/2016	<u>(5)</u>	Common Stock	6,169
Restricted Stock Units	\$ 0 (4)						03/07/2017	<u>(5)</u>	Common Stock	7,373

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Reporting Owners 2

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Director 10% Owner Officer Other

Precourt Walter F. III C/O THE MOSAIC COMPANY 3033 CAMPUS DRIVE, SUITE E490 PLYMOUTH, MN 55441

Senior VP -Potash Operations

### **Signatures**

/s/ Mark J. Isaacson, Attorney-in-Fact for Walter F. Precourt III

07/23/2014

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares issued to reporting person upon the vesting of a performance unit award granted to reporting person on July 21, 2011. The performance unit award was not a derivative security.
- (2) Shares sold to cover tax liability incurred as a result of most recent vesting of Restricted Stock Units and Performance Units.
- (3) This Stock Option is 100% exercisable.
- (4) One-for-One
- (5) Not Applicable

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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