**MOSAIC CO** Form 3 August 22, 2014

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** Number:

response...

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement MOSAIC CO [MOS] Isaacson Mark J. (Month/Day/Year) 08/13/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O THE MOSAIC (Check all applicable) COMPANY, Â 3033 CAMPUS **DRIVE. SUITE E490** 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) VP, Gen. Counsel & Corp. Sec. \_X\_ Form filed by One Reporting Person PLYMOUTH. MNÂ 55441 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 1. Title of Security 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership (Instr. 4) Ownership Form: (Instr. 5) (Instr. 4) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 4,681 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

information contained in this form are not required to respond unless the form displays a

currently valid OMB control number.

3. Title and Amount of 6. Nature of Indirect 1. Title of Derivative Security 2. Date Exercisable and 4. 5. **Expiration Date** Securities Underlying Beneficial (Instr. 4) Conversion Ownership (Month/Day/Year) Derivative Security or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5) Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(1)	08/04/2016	Common Stock	6,281	\$ 15.45	D	Â
Stock Option (Right to Buy)	(1)	08/02/2017	Common Stock	4,693	\$ 40.03	D	Â
Stock Option (Right to Buy)	(1)	07/31/2018	Common Stock	1,152	\$ 127.21	D	Â
Stock Option (Right to Buy)	(1)	07/27/2019	Common Stock	2,246	\$ 52.72	D	Â
Stock Option (Right to Buy)	(1)	07/27/2020	Common Stock	2,598	\$ 44.93	D	Â
Stock Option (Right to Buy)	(1)	07/21/2021	Common Stock	1,480	\$ 70.62	D	Â
Restricted Stock Units	07/19/2015	(2)	Common Stock	1,620	\$ (3)	D	Â
Restricted Stock Units	07/18/2016	(2)	Common Stock	1,666	\$ (3)	D	Â
Restricted Stock Units	03/07/2017	(2)	Common Stock	1,877	\$ <u>(3)</u>	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
. 3	Director	10% Owner	Officer	Other	
Isaacson Mark J. C/O THE MOSAIC COMPANY 3033 CAMPUS DRIVE, SUITE E490	Â	Â	VP, Gen. Counsel & Corp. Sec.	Â	
PLYMOUTH, MN 55441					

# **Signatures**

/s/ Mark J.
Isaacson

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Stock Option is 100% exercisable.
- (2) Not Applicable
- (3) One-for-One

Reporting Owners 2

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.