#### Edgar Filing: PERMA FIX ENVIRONMENTAL SERVICES INC - Form 4

PERMA FIX ENVIRONMENTAL SERVICES INC Form 4 January 03, 2014 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **REEDER JOE** Issuer Symbol PERMA FIX ENVIRONMENTAL (Check all applicable) SERVICES INC [pesi] (Last) (First) (Middle) 3. Date of Earliest Transaction X\_ Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) below) **106 W. ROSEMONT AVENUE** 01/02/2014 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting ALEXANDRIA, VA 22301 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 5. Amount of 7. Nature of 3. 4. Securities Acquired 6. Execution Date, if Security (Month/Day/Year) Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial any (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Common 01/02/2014 Α 2.229 100,773 D Α Stock 2.3325 Custodian for Common **UTMA** I 22,821 Stock account for grandchildren

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Derivat Securit (Instr. 5
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option	\$ 8.5					<u>(1)</u>	07/28/2014	Common Stock	2,400	
Stock Option	\$ 9.2					(2)	07/27/2015	Common Stock	2,400	
Stock Option	\$ 10.75					<u>(3)</u>	07/27/2016	Common Stock	2,400	
Stock Option	\$ 14.75					(4)	08/02/2017	Common Stock	2,400	
Stock Option	\$ 11.7					(5)	08/05/2018	Common Stock	2,400	
Stock Option	\$ 13.35					(6)	07/29/2019	Common Stock	2,400	
Stock Option	\$ 8.4					<u>(7)</u>	09/29/2020	Common Stock	2,400	
Stock Option	\$ 7.05					(8)	08/24/2021	Common Stock	2,400	
Stock Option	\$ 5.5					<u>(9)</u>	09/13/2022	Common Stock	2,400	
Stock Option	\$ 2.785					(10)	09/12/2023	Common Stock	2,400	

### **Reporting Owners**

**Reporting Owner Name / Address** 

Relationships

10% Owner Officer Other

Director

Х

REEDER JOE 106 W. ROSEMONT AVENUE ALEXANDRIA, VA 22301

# Signatures

/s/Joe Reeder

01/03/2014

**Signature of								
Reporting Person								

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock Option granted 07/28/04 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (2) Stock Option granted 07/27/05 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- $\begin{array}{l} \textbf{(3)} \quad & \begin{array}{l} \text{Stock Option granted } 07/27/06 \text{ under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.} \end{array}$
- (4) Stock Option granted  $\frac{08}{02}$  under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (5)  $\frac{\text{Stock Option granted } 08/05/08 \text{ under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.}$
- (6)  $\frac{\text{Stock Option granted 07/29/09 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.}$
- (7) Stock Option granted  $\frac{09}{29}$  under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (8) Stock Option granted  $\frac{08}{24}$  under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (9)  $\frac{\text{Stock Option granted } 09/13/12 \text{ under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.}$
- (10)  $\frac{\text{Stock Option granted 09/12/13 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.}$

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.