## Edgar Filing: Vincent George A - Form 4

Vincent Ge Form 4	-								
October 04	ЛЛ								PPROVAL
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									3235-0287
Check t if no los subject Section Form 4 Form 5 obligati may co	nger to 16. or Filed pur ons ntinue.		ection 1 Public U	SECUE	Estimated burden hou response	urs per			
<i>See</i> Inst 1(b).	truction	50(II) (		ivestillen	. Compa	ly Act of 1	940		
(Print or Type	Responses)								
1. Name and Address of Reporting Person <u>*</u> Vincent George A			2. Issuer Name <b>and</b> Ticker or Trading Symbol NANOPHASE TECHNOLOGIES CORPORATION [NANX]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
(Last) 1319 MAF	3. Date of Earliest Transaction (Month/Day/Year) 10/01/2010				_X_ Director10% Owner Officer (give title below) Other (specify below)				
		4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
ROMEOV	Person					whole than one R	eporting		
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemee Execution I any (Month/Da	Date, if	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	(D) Price			
Reminder: Re	eport on a separate line	e for each cla	ass of sec	urities bene	Perso inforn requir	ns who res nation cont ed to respo	or indirectly. spond to the colle tained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)

number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and Expiration	7. Title and An
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	nof Derivative	Date	Underlying Sec
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

## Edgar Filing: Vincent George A - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr.	8)	Acquired (A) or Disposed (D) (Instr. 3, and 5)	d of			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title
Stock Option (right to buy)	\$ 4.48							11/06/2008(1)	11/06/2017(1)	Common Stock
Deferred Common Stock	<u>(2)</u>							<u>(3)</u>	(3)(4)	Common Stock
Stock Appreciation Right <sup>(5)</sup>	\$ 0.99	10/01/2010		А		2,250		(5)	(5)	Common Stock

## **Reporting Owners**

Reporting Owner Name / Address		Relationsh					
	Director	10% Owner	Officer	Other			
Vincent George A 1319 MARQUETTE DRIVE ROMEOVILLE, IL 60446	Х						
Signatures							
By Jess Jankowski under UPA Vincent	for Georg	ge A.	1	10/04/2010			
**Signature of Reporting Person				Date			
Explanation of Responses:							
* If the form is filed by more than one reporting person, <i>see</i> Instruction 4(b)(v).							
** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).							

- (1) Subject to certain restrictions, beginning on this date, options vest in three equal annual installments.
- (2) Each share of deferred common stock represents a right to receive one share of common stock.
- (3) The deferred common stock becomes payable upon the reporting person's termination of service as a director of the Company.
- (4) Pursuant to such plan, the reporting person elected to defer receipt of such shares and receive a cumulative total of 12,652 shares of deferred common stock which will all be accounted for under the Company's Non-Employee Director Deferred Compensation Plan.

The stock appreciation right becomes payable upon the reporting person's termination of service as a director of the Company. 2,250 were issued 4/8/2009 at a conversion price of \$0.90, 2,250 were issued 7/1/2009 at a conversion price of \$1.05, 2,250 were issued 10/1/2009 at

(5) Instact 4/0/2009 at a conversion price of \$0.90, 2,250 were issued 1/1/2009 at a conversion price of \$1.03, 2,250 were issued 10/1/2009 at a conversion price of \$1.18, 2,250 were issued 1/4/2010 at a conversion price of \$0.84, 2,250 were issued 4/1/2010 at a conversion price of \$1.85, 2,250 were issued 7/1/2010 at a conversion price of \$1.10, and 2,250 were issued 10/1/2010 at a conversion price of \$0.99.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.