WisdomTree Investments, Inc.
Form 4
March 11, 2015

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

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| (City) | (State) | (Zip) Ta | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed <br> Execution Date, if any (Month/Day/Year) | 3. <br> Transac <br> Code <br> (Instr. 8) <br> Code | 4. Securiti Disposed (Instr. 3, 4 <br> Amount | Acqu <br> (D) <br> nd 5) <br> (A) or <br> (D) | ired (A) or <br> Price | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. <br> Ownership <br> Form: <br> Direct (D) <br> or Indirect <br> (I) <br> (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 03/09/2015 |  | M | 437,500 | A | \$ 0.7 | 6,122,470 ${ }_{(1)}$ | D |  |
| Common <br> Stock | 03/09/2015 |  | S | 262,500 | D | $\begin{aligned} & \$ \\ & 20.5648 \\ & \underline{(2)} \end{aligned}$ | 5,859,970 ${ }_{(1)}$ | D |  |
| Common Stock | 03/10/2015 |  | M | 437,500 | A | \$ 0.7 | 6,297,470 ${ }_{(1)}$ | D |  |
| Common Stock | 03/10/2015 |  | S | 262,500 | D | $\begin{aligned} & \$ \\ & 20.5984 \end{aligned}$ | 6,034,970 ${ }_{\underline{(1)}}$ | D |  |


| Common | 798 | I | By <br> Stock |
| :--- | :--- | :--- | :--- |
| Spouse |  |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.
Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
(9-02)
displays a currently valid OMB control
number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. <br> Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. 5. Number of <br> TransactiorDerivative  <br> Code Securities <br> (Instr. 8) Acquired (A) or <br>  Disposed of (D) <br>  (Instr. 3, 4, and <br>  5) |  |  | 6. Date Exercisable and Expiration Date (Month/Day/Year) |  | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | Code V | (A) | (D) | Date <br> Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock <br> Option (right to buy) | \$ 0.7 | 03/09/2015 |  | M |  | 437,500 | (4) | 01/25/2019 | Common Stock | 437,500 |
| Stock <br> Option <br> (right to <br> buy) | \$ 0.7 | 03/10/2015 |  | M |  | 437,500 | (4) | 01/25/2019 | Common Stock | 437,500 |

## Reporting Owners

Reporting Owner Name / Address
Relationships
Director 10\% Owner Officer Other
Steinberg Jonathan L
C/O WISDOMTREE INVESTMENTS, INC.
245 PARK AVENUE, 35TH FLOOR
X
NEW YORK, NY 10167

## Signatures

/s/ Marci Frankenthaler,
Attorney-in-Fact
${ }_{\text {** }}$ Signature of Reporting Person
03/11/2015 Date

## Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. $78 \mathrm{ff}(\mathrm{a})$.
(1) Includes restricted stock awards which vest as to (i) 75,421 shares on January 22, 2016, (ii) 37,538 shares on January 28, 2016, (iii) 51,772 shares on each of January 14, 2016 and 2017, (iv) 37,539 shares on January 28, 2017 and (v) 37,550 shares on January 28, 2018.

The price included in Column 4 is an average weighted price. These shares were sold in multiple transactions at prices ranging from
(2) $\$ 20.29$ to $\$ 20.882$, inclusive. The reporting person undertakes to provide to WisdomTree Investments, Inc., any security holder of WisdomTree Investments, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (2) of this Form 4.

The price included in Column 4 is an average weighted price. These shares were sold in multiple transactions at prices ranging from
(3) $\$ 20.175$ to $\$ 20.7883$, inclusive. The reporting person undertakes to provide to WisdomTree Investments, Inc., any security holder of WisdomTree Investments, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (3) of this Form 4.
(4) Exercisable as to 437,500 shares on each of January 26, 2010, 2011, 2012 and 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

