Edgar Filing: Pendrell Corp - Form 4

Pendrell Con Form 4 January 04, 2	•										
FORM	ЛЛ	S SECUR	RITIES A	OMB APPROVAL							
Check th if no long	ger STATE	MENT O	Number: Expires:	irs per							
subject to Section 1 Form 4 c Form 5 obligatio may con <i>See</i> Instr 1(b).	16. or ^{nns} Filed pu tinue.	ursuant to s 7(a) of the	burden hou response								
(Print or Type]	Responses)										
1. Name and Address of Reporting Person <u>*</u> MIKLES LEE E			2. Issuer Name and Ticker or Trading Symbol Pendrell Corp [PCO]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Cl					(Checl	eck all applicable)		
2300 CARI	LLON POINT		(Month/E 01/01/2	-				below)	title Other below)		
KIRKLAN	(Street) D, WA 98033		ndment, Da nth/Day/Year)	-			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative Se	curiti	es Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	r) Executio any	n Date, if	Code (Instr. 8)	4. Securitie n(A) or Disp (Instr. 3, 4	(A) or	OWNERSHIP OF Estimated average burden hours per response change Act of 1934, Act of 1935 or Section of 1940 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Class A				Code V	Amount	(D)	Price	. ,			
Common Stock (1)	01/01/2017			М	100,000	А	\$0	211,257	D		
Class A Common Stock								7,479	I	By spouse	
Class A Common Stock								8,610	I	By trust for the benefit of children	
								1,000	Ι		

				0 0		•					
Class A Common Stock									for t ben	trust the efit of ghter	
Class A Common Stock							100) І	acco for t	efit of	
Reminder: Re	port on a sepa	rate line for	each class	s of securities benef	ficially own	ed direc	tly or indire	ctly.			
	1				Person informa require	ns who ation c ed to re /s a cu	respond t contained i espond un	o the collection n this form are i less the form id OMB control			
		Table I		tive Securities Acq its, calls, warrants							
			(0.8.) Po	to, cano, warrante	, options, c.	Jiiver ei,	ole securities	.3)			
1. Title of Derivative Security (Instr. 3)	rivative Conversion (Month/Day/Year) curity or Exercise		3A. Deemed Execution Date, in any (Month/Day/Year	Code	tiorDeriv Secu) Acqu Disp	umber of vative urities uired (A) or osed of (D) r. 3, 4, and	6. Date Exercisal Expiration Date (Month/Day/Yea	7. Title and Amour Underlying Securi (Instr. 3 and 4)			
					Code V	V (A)	(D)	Date Exercisable	Expiration Date	Title	Amo Num Shar
Restricted Stock Unit Award (2)	\$ 0 <u>(3)</u>	01/01	/2017		М		100,000	01/01/2017(4)	06/15/2025	Class A Common Stock	100
Penor	ting O	whore									
пероп		WHEIS	>								
Reporting ()wner Name /	/ Address		Relat	tionships						
r 8			Director	10% Owner C	Officer		Other	r			
MIKLES LEE E 2300 CARILLON POINT X KIRKLAND, WA 98033		President and CEO									
Signat	ures										
/s/ Timoth Attorney-ii	y M. Dozoi n-Fact	s,		01/04/201	.7						
**Signature of Reporting Person				Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vested restricted stock units were distributed to the reporting person, without payment, in shares of Class A Common Stock on a unit-for-share basis on the vesting date.
- (2) Each restricted stock unit represents a contingent right to receive one share of Pendrell Corporation Class A Common Stock.
- (3) Converts into Class A Common Stock on a unit-for-share basis on the vesting date.
- (4) On June 15, 2015, the reporting person was granted 300,000 restricted stock units. 100,000 restricted stock units vested on January 1, 2017. If the reporting person remains engaged, 100,000 restricted stock units will vest on each of January 1, 2018 and January 1, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.