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CENTRAL GARDEN & PET CO

Form 3

February 21, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

A Schwichtenberg Kay

(Last)

(First)

(Street)

C/O CENTRAL GARDEN &

PET COMPANY, Â 1340 TREAT BLVD. SUITE 600

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Statement

(Month/Day/Year)

02/14/2017

CENTRAL GARDEN & PET CO [CENT]

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Director _X__ Officer 10% Owner

Other (give title below) (specify below) **Executive Vice President**

6. Individual or Joint/Group

Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

By 401(k) Plan (1)

Form filed by More than One

Reporting Person

WALNUT CREEK, CAÂ 94597

(City) (State)

1. Title of Security

(Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Form: Direct (D) or Indirect (I)

(Instr. 5)

Ι

Units 50.9039

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. 5. Conversion Ownership or Exercise Form of

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Price of Derivative Derivative Security:

(Instr. 4)

(Instr. 4)

1

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(2)	03/31/2021	Class A Common Stock	37,500	\$ 10.63	D	Â
Stock Option (Right to Buy)	(3)	01/29/2022	Class A Common Stock	50,000	\$ 13.82	D	Â
Stock Option (Right to Buy)	(4)	01/20/2023	Class A Common Stock	14,690	\$ 30.94	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
.	Director	10% Owner	Officer	Other		
Schwichtenberg Kay C/O CENTRAL GARDEN & PET COMPANY 1340 TREAT BLVD, SUITE 600 WALNUT CREEK, CA 94597	Â	Â	Executive Vice President	Â		

Signatures

/s/ Kay
Schwichtenberg

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The units represent interests in the CENTA Stock Fund in the Issuer's 401(k) Plan, which consist of shares of CENTA stock and cash.
- Options were granted on April 16, 2015, of which 12,500 have vested and been exercised and the remaining 37,500 shares will vest and be exercisable as follows: 12,500 shares on March 31, 2017; 12,500 shares on March 31, 2018; and 12,500 shares on March 31, 2019.
- Options were granted on January 29, 2016, of which 12,500 have vested and are exercisable and the remaining 37,500 shares will vest and be exercisable as follows: 12,500 shares on January 29, 2018; 12,500 shares on January 29, 2019; and 12,500 shares on January 29, 2020.
- (4) The options shall vest in four increments of 25% on January 20, 2018, 2019, 2020, and 2021.

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Remarks:

Exhibit 24.1: Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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