PPL Corp Form 4 March 02, 2017

# FORM 4

## **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

January 31,

0.5

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Expires: 2005 Estimated average burden hours per

response...

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and SPENCE	Symbol	2. Issuer Name and Ticker or Trading Symbol PPL Corp [PPL]			5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First)	(Middle) 3. Date of	of Earliest	Fransaction	(CII	сек ин иррнеи	<i>310)</i>	
TWO NO	RTH NINTH STR	*	Day/Year) 2017		DirectorX Officer (gibelow) Chairma			
	4. If Am	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
ALLENT	OWN, PA 18101	Filed(Mo	onth/Day/Ye	ar)	Applicable Line) _X_ Form filed by Form filed by Person			
(City)	(State)	(Zip) Tak	ole I - Non-	-Derivative Securities Ac	quired, Disposed	of, or Benefic	ially Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. Transacti Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership	

Security	(Month/Day/Year)	Execution Date, ii	Transacuo	$\mathfrak{M}(\mathbf{A})$ or $\mathfrak{D}$	sposea	1 OI (D)	Securities	Ownership	mairect
(Instr. 3)		any	Code	(Instr. 3, 4	4 and 5	5)	Beneficially	Form:	Beneficial
		(Month/Day/Year)	(Instr. 8)				Owned	Direct (D)	Ownership
							Following	or Indirect	(Instr. 4)
					(4)		Reported	(I)	
					(A)		Transaction(s)	(Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common			G(1)	10.100	_	\$	30,456.906	_	
Stock	02/28/2017		S <u>(1)</u>	40,123	D	36.94 (2) (3)	<u>(4)</u>	D	

Held in trust pursuant to the Ι Employee

> Stock Ownership Plan.

12,824 Ι

123.901 (4)

Common Stock

Common Stock

Held in trust for benefit of Mr. Spence's wife.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

9. Nu

Deriv

Secu

Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. ctionNumber of S) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day, ve s l	ate	7. Title Amoun Underl Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SPENCE WILLIAM H TWO NORTH NINTH STREET ALLENTOWN, PA 18101

Chairman, President and CEO

## **Signatures**

/s/Frederick C. Paine, as Attorney-In-Fact for William H. Spence

03/02/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the sale of 40,123 shares of common stock pursuant to a 10b5-1 plan, dated April 29, 2016.

Reporting Owners 2

#### Edgar Filing: PPL Corp - Form 4

- (2) Represents the weighted average sales price for price increments ranging from \$36.840 to \$37.005.
- The Reporting Person undertakes to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security
- (3) holder of the Issuer, full information regarding the number of shares sold at each separate price for all transactions reported on this Form 4.
- (4) Total includes the reinvestment of dividends.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.