

NORDSON CORP

Form 4

June 09, 2016

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
DeVries James E

(Last) (First) (Middle)

28601 CLEMENS ROAD

(Street)

WESTLAKE, OH 44145

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
NORDSON CORP [NDSN]

3. Date of Earliest Transaction  
(Month/Day/Year)  
06/08/2016

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

Vice President

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 06/08/2016                              |                                                             | M                                    | V Amount (A) or (D) Price<br>5,000 A \$<br>24.46                    | 37,393                                                                                                             | D                                                                    |                                                                   |
| Common<br>Stock                       | 06/08/2016                              |                                                             | S                                    | 5,000 D \$<br>88.02<br>(1)                                          | 32,603                                                                                                             | D (2)                                                                |                                                                   |
| Common<br>Stock                       |                                         |                                                             |                                      |                                                                     | 2,826                                                                                                              | I                                                                    | By<br>Company<br>ESOP Plan<br>(3)                                 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  | 8. Amount<br>or<br>Number<br>of<br>Shares |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|--------------------|---------------------------------------------------------------------|--|-------------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V (A) (D)                                                                                                       | Date<br>Exercisable                                            | Expiration<br>Date | Title                                                               |  |                                           |
| Employee<br>Stock<br>Options<br>(right to<br>buy)   | \$ 24.46                                                              | 06/08/2016                              |                                                             | M                                       | 5,000                                                                                                           | <u>(4)</u>                                                     | 12/06/2016         | Common<br>Stock                                                     |  | 5,000                                     |
| Employee<br>Stock<br>Options<br>(right to<br>buy)   | \$ 26.46                                                              |                                         |                                                             |                                         |                                                                                                                 | <u>(4)</u>                                                     | 12/05/2017         | Common<br>Stock                                                     |  | 5,000                                     |
| Employee<br>Stock<br>Options<br>(right to<br>buy)   | \$ 14.37                                                              |                                         |                                                             |                                         |                                                                                                                 | <u>(4)</u>                                                     | 12/04/2018         | Common<br>Stock                                                     |  | 5,800                                     |
| Employee<br>Stock<br>Options<br>(right to<br>buy)   | \$ 27.26                                                              |                                         |                                                             |                                         |                                                                                                                 | <u>(4)</u>                                                     | 12/03/2019         | Common<br>Stock                                                     |  | 3,500                                     |
| Employee<br>Stock<br>Options<br>(right to<br>buy)   | \$ 43.32                                                              |                                         |                                                             |                                         |                                                                                                                 | <u>(4)</u>                                                     | 12/07/2020         | Common<br>Stock                                                     |  | 4,000                                     |
| Employee<br>Stock<br>Options                        | \$ 43.73                                                              |                                         |                                                             |                                         |                                                                                                                 | <u>(4)</u>                                                     | 11/28/2021         | Common<br>Stock                                                     |  | 6,000                                     |

(right to  
buy)

Employee  
Stock

|         |          |     |            |                 |       |
|---------|----------|-----|------------|-----------------|-------|
| Options | \$ 61.59 | (5) | 11/28/2022 | Common<br>Stock | 4,500 |
|---------|----------|-----|------------|-----------------|-------|

(right to  
buy)

Employee  
Stock

|         |          |     |            |                 |       |
|---------|----------|-----|------------|-----------------|-------|
| Options | \$ 71.75 | (6) | 11/25/2023 | Common<br>Stock | 5,200 |
|---------|----------|-----|------------|-----------------|-------|

(right to  
buy)

Employee  
Stock

|         |          |     |            |                 |       |
|---------|----------|-----|------------|-----------------|-------|
| Options | \$ 79.66 | (7) | 11/24/2024 | Common<br>Stock | 5,800 |
|---------|----------|-----|------------|-----------------|-------|

(right to  
buy)

Employee  
Stock

|         |          |     |            |                 |       |
|---------|----------|-----|------------|-----------------|-------|
| Options | \$ 70.91 | (8) | 11/23/2025 | Common<br>Stock | 9,100 |
|---------|----------|-----|------------|-----------------|-------|

(right to  
buy)

## Reporting Owners

| Reporting Owner Name / Address                              | Relationships |           |                |       |
|-------------------------------------------------------------|---------------|-----------|----------------|-------|
|                                                             | Director      | 10% Owner | Officer        | Other |
| DeVries James E<br>28601 CLEMENS ROAD<br>WESTLAKE, OH 44145 |               |           | Vice President |       |

## Signatures

|                                          |            |
|------------------------------------------|------------|
| Robert E. Veillette,<br>Attorney-In-Fact | 06/09/2016 |
|------------------------------------------|------------|

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Price reflected is the weighted-average sale price for shares sold. The shares were sold in multiple transactions, and the range of sale prices for the transactions reported was \$88.00 to \$88.06. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or any staff member of the Securities and Exchange Commission, upon request, information regarding the number of shares sold at each separate price.

(2) Exercise of reporting person's stock options that would otherwise expire in December 2016, of which shares were sold to pay the exercise price, to cover withholding taxes, and to pay broker fees and commissions, pursuant to a broker-assisted cashless exercise and sale. The holdings include 210 shares acquired through dividend payments under the Company's Dividend Reinvestment Plan and are net of shares previously withheld or sold to cover withholding taxes.

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Represents the number of shares attributable to the reporting person's participation in the Company Stock Fund of the Nordson Employee

- (3) Stock Ownership Plan, exempt pursuant to Rule 16b-3(c). The reporting person disclaims beneficial ownership of these securities. Holdings are corrected due to a scrivener error in the December 1, 2015 reporting.

- (4) All such options have fully vested.

On November 28, 2012, the Company awarded 4,500 stock options under the Company's Long-Term Performance Plan. The options vest

- (5) in 4 equal annual installments beginning on November 28, 2013. The vested portions of such options will become exercisable upon vesting.

On November 25, 2013, the Company awarded 5,200 stock options under the Company's 2012 Stock Incentive and Award Plan. The

- (6) options vest in 4 equal annual installments beginning on November 25, 2014. The vested portions of such options will become exercisable upon vesting.

On November 24, 2014, the Company awarded 5,800 stock options under the Company's 2012 Stock Incentive and Award Plan. The

- (7) options vest in 4 equal annual installments beginning on November 24, 2015. The vested portions of such options will become exercisable upon vesting.

On November 23, 2015, the Company awarded 9,100 stock options under the Company's 2012 Stock Incentive and Award Plan. The

- (8) options vest in 4 equal annual installments beginning on November 23, 2016. The vested portions of such options will become exercisable upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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