Edgar Filing: FINJAN HOLDINGS, INC. - Form 4

| FINJAN HO | LDINGS, INC | ۱ ۰ | | | | | | | | | | |
|--|--------------------------|---|---|-----------------------------------|--------|--------|------------------------|-----------|--|--|------------------------|--|
| Form 4 | | | | | | | | | | | | |
| April 28, 201 | _ | | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSIO | | | | | | | | OMMISSION | | OMB APPROVAL | | |
| | UNITE | DSIAIL | | | | | | | | OMB Number: | 3235-0287 | |
| Check thi | | Washington, D.C. 20549 | | | | | | | | | | |
| if no long subject to | | EMENT O | Expires: Estimated a | 2005 2005 | | | | | | | | |
| Section 1 | 6. | SECURITIES | | | | | | | | burden hou | • | |
| Form 4 or Form 5 | 0 (* 1 | ction 16(a) of the Securities Exchange Act of 1934, | | | | | | | 0.5 | | | |
| obligation | . | | | | | | | - | e Act of 1934, 1935 or Section | n | | |
| may cont See Instru | inue. | | of the In | • | • | | · · | | | 11 | | |
| 1(b). | , , | | | | | | | | | | | |
| (Print or Type F | (esponses) | | | | | | | | | | | |
| No such Males 1 Decid | | | 2. Issuer | Issuer Name and Ticker or Trading | | | | | 5. Relationship of Reporting Person(s) to | | | |
| | | | Symbol | | | | | | Issuer | | | |
| | FINJAN | HOLDI | NGS | , INC | C. [FI | NJN] | (Check all applicable) | | | | | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction | | | | | ` | | | | |
| | | | | /Day/Year) | | | | | Director 10% Owner X Officer (give title Other (specify | | | |
| | N HOLDINGS UNIVERSITY | | 04/27/2 | //2016 | | | | | below) below) | | | |
| SUITE 600 | | , <u>.</u> ., | | | | | | | Chief I | Financial Offic | er | |
| (Street) | | | 4. If Amendment, Date Original | | | | | | 6. Individual or Joint/Group Filing(Check | | | |
| Filed(M | | | | d(Month/Day/Year) | | | | | Applicable Line) _X_ Form filed by One Reporting Person | | | |
| E. PALO AI | LTO, CA 9430 | 13 | | | | | | | _X_ Form filed by C Form filed by M Person | | | |
| (City) | (State) | (Zip) | Tabl | e I - Non-I | Deriva | tive S | ecurit | ties Acq | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of | 2. Transaction D | | | 3. | | | | quired | 5. Amount of | 6. Ownership | | |
| Security (Instr. 3) | (Month/Day/Yea | | on Date, if | Transaction(A) or Disposed of (D) | | | | | Securities Beneficially | Form: Direct Indirec (D) or Benefic | Indirect Beneficial | |
| (IIIsu. <i>5)</i> | | any (Month/ | Code (Instr. 3, 4 and 5) /Day/Year) (Instr. 8) | | | | | ,) | Owned | Indirect (I) | Ownership | |
| | | | | | | | | Following | (Instr. 4) | (Instr. 4) | | |
| | | | | | | | (A) | | Reported Transaction(s) | | | |
| | | | | Code V | Am | ount | or (D) | Price | (Instr. 3 and 4) | | | |
| Common | 04/07/0010 | | | | | | | | 49.224 | D | | |
| Stock | 04/27/2016 | | | М | 10, | 834 | А | \$0 | 48,334 | D | | |
| Common | 0.4.000.004.6 | | | G | 4,3 | 34 | D | \$ | 11000 | D | | |
| Stock | 04/27/2016 | | | S | (1) | | D | 1.17 | 44,000 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of actionDerivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ve Expiration Date es (Month/Day/Year) d (A) osed of | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. l De Sec (In |
|---|---|---|---|--|---|-------|---|--------------------|---|-------------------------------------|--------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Units | \$ 0 <u>(2)</u> | 04/27/2016 | | М | 10 | 0,834 | (3) | (3) | Common Stock | 10,834 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|-------------------------|-------|--|--|--|
| , of the second s | Director | 10% Owner | Officer | Other | | | |
| Noonan Michael David C/O FINJAN HOLDINGS, INC. 2000 UNIVERSITY AVE, SUITE 600 E. PALO ALTO, CA 94303 | | | Chief Financial Officer | | | | |

Signatures

/s/ Michael Noonan 04/28/2016 **Signature of Date Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents shares of common stock sold pursuant to a 10b5-1 trading plan entered into on August 25, 2015 to satisfy the reporting (1)person's tax obligations upon vesting of 10,834 restricted stock units ("RSUs")
- Each restricted stock unit ("RSU") granted to the reporting person represents the contingent right to receive one share of common stock of (2) Finjan Holdings, Inc. or its equivalent cash value, subject to the terms and conditions of the Finjan Holdings, Inc. 2014 Incentive Compensation Plan.
- A total of 130,000 RSUs were granted on October 27, 2014. The RSUs vested one-third on October 27, 2015 and an additional 8.33% of (3)the RSUs vest every three calendar months following such date. The RSUs will be settled upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.