BRISTOL MYERS SQUIBB CO

Form 3 June 01, 2007

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OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement BRISTOL MYERS SQUIBB CO [(BMY)] HOOPER ANTHONY C (Month/Day/Year) 05/24/2007 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) **BRISTOL-MYERS SQUIBB** (Check all applicable) COMPANY, RT. 206 & PROVINCELINE ROAD 10% Owner Director _X__ Officer (Street) Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) President US Pharmaceuticals _X_ Form filed by One Reporting Person PRINCETON. NJÂ 08540 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Ownership Beneficially Owned Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock, \$0.10 par value $97,208 \frac{(1)}{2}$ D Common Stock, \$0.10 par value Ι By Spouse 3,675 By BMY Savings & Investment Common Stock, \$0.10 par value $\frac{(2)}{}$ 2,784.97 Ι **Program** Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)		
Option (right to buy)	03/03/1999(3)	03/02/2008	Common Stock, \$0.10 par value	25,660	\$ 48.45	D	Â	
Executive Option (right to buy)	01/04/2000(3)	01/03/2009	Common Stock, \$0.10 par value	30,813	\$ 62.95	D	Â	
Option (right to buy)	01/03/2001(3)	01/02/2010	Common Stock, \$0.10 par value	2,524	\$ 61.09	D	Â	
Option (right to buy)	03/07/2001(3)	03/06/2010	Common Stock, \$0.10 par value	33,127	\$ 42.64	D	Â	
Executive Option (right to buy)	03/06/2002(4)	03/05/2011	Common Stock, \$0.10 par value	36,150	\$ 59.78	D	Â	
Option (right to buy)	03/05/2003(3)	03/04/2012	Common Stock, \$0.10 par value	40,000	\$ 48.08	D	Â	
Option (right to buy)	06/04/2005(3)	06/03/2007	Common Stock, \$0.10 par value	30,000	\$ 28.9	D	Â	
Option (right to buy)	03/04/2004(3)	03/03/2013	Common Stock, \$0.10 par value	68,000	\$ 23.14	D	Â	
Option (right to buy)	(5)	03/01/2014	Common Stock, \$0.10 par value	63,333	\$ 28.11	D	Â	
Executive Option (right to buy)	(6)	02/28/2015	Common Stock,	55,833	\$ 25.45	D	Â	

			\$0.10 par value				
Executive Option (right to buy)	(7)	03/06/2016	Common Stock, \$0.10 par value	78,750	\$ 22.73	D	Â
Executive Option (right to buy)	(8)	11/30/2016	Common Stock, \$0.10 par value	200,000	\$ 24.74	D	Â
Executive Option (right to buy)	(9)	03/05/2017	Common Stock, \$0.10 par value	104,616	\$ 27.01	D	Â
Restricted Stock Units	(10)	(10)	Common Stock, \$0.10 par value	26,151	\$ <u>(11)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Keiauonsnips				
	Director	10% Owner	Officer	Other	
HOOPER ANTHONY C					
BRISTOL-MYERS SQUIBB COMPANY	â	â	President US Pharmaceuticals	â	
RT. 206 & PROVINCELINE ROAD	A	А	A President US Pharmaceuticais	Α	
PRINCETON. NJ 08540					

Signatures

By: /s/ Sonia Vora, Attorney-in-Fact 05/31/2000

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 83,578 shares of unvested restricted stock.
- (2) Based on a plan statement as of the end of the most recent fiscal quarter.
- (3) Currently Exercisable.
- (4) 18,075 shares are currently exercisable. The remaining 18,075 shares are exercisable on the earlier to occur of (i) the closing share price achieving a price threshold and remaining at that threshold for a given number of days or (ii) March 6, 2009.
- (5) 47,499 shares are currently exercisable and the remaining 15,834 shares will beome exercisable on March 2, 2008.
- (6) 27,916 shares are currently exercisable. An additional 13,958 shares will become exercisable on each of March 1, 2008 and March 1,

(7)

Reporting Owners 3

19,687 shares are currently exercisable. The remaining shares will vest 25% on each of March 7, 2008, March 7, 2009 and March 7, 2010

- (8) One-third of the options will become exercisable on each of December 1, 2009, December 1, 2010 and December 1, 2011.
- Option is not exercisable until the closing share price of common stock achieves a price of at least 15% above the option grant price and remains at that price for seven consecutive trading days. 25% of the shares vest annually, on a cumulative basis, on March 6, 2008, March 6, 2009, March 6, 2010 and Mach 6, 2011.
- (10) One-third of the restricted stock units will vest on each of March 6, 2010, March 6, 2011 and March 6, 2012 and will be converted into shares of common stock.
- (11) Each restricted stock unit converts into one share of common stock upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.