AMERICAN VANGUARD CORP

Form 3

January 05, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

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SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement AMERICAN VANGUARD CORP [AVD] **Â** Hendrix Scott (Month/Day/Year) 01/01/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 4695 MACARTHUR COURT, (Check all applicable) **SUITE 1200** (Street) 6. Individual or Joint/Group 10% Owner Director _X__ Officer Other Filing(Check Applicable Line) (give title below) (specify below) _X_ Form filed by One Reporting VP/Crop Sales - AMVAC Person **NEWPORT** Form filed by More than One BEACH. CAÂ 92660 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â 6,532 (1) Common Stock D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a

currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|--|---|---|--|
| | | Title | Security | Direct (D) | |

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| | Date Exercisable | Expiration Date | | Amount or Number of Shares | | or Indirect (I) (Instr. 5) | |
|---------------------|---------------------|--------------------|-----------------|----------------------------------|----------|----------------------------|---|
| Options to Purchase | 12/10/2013 | 12/10/2020 | Common Stock | 15,000 | \$ 7.5 | D | Â |
| Options to Purchase | 12/30/2017 | 12/30/2024 | Common Stock | 3,604 | \$ 11.49 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | |
|---|---------------|-----------|-----------------------|-------|--|
| | Director | 10% Owner | Officer | Other | |
| Hendrix Scott 4695 MACARTHUR COURT, SUITE 1200 NEWPORT REACH Â CAÂ 92660 | Â | Â | VP/Crop Sales - AMVAC | Â | |

Signatures

/s/ SCOTT
HENDRIX

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These shares include four tranches of restricted stock as follows: 2,500 shares of time-based restricted shares that vested on 3/22/2015;

(1) 1,582 shares of time-based restricted shares that shall vest on 3/25/2016; and 1,225 shares of time-based and 1,225 shares of performance-based restricted shares that vest on 5/23/2017.

Â

Remarks

The reporting person has executed a Power of Attorney dated December 29, 2015 permitting execution Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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