

Rajgarhia Vivek  
Form 3  
August 17, 2017

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Rajgarhia Vivek  
(Last) (First) (Middle)

2. Date of Event Requiring Statement  
(Month/Day/Year)  
08/07/2017

3. Issuer Name and Ticker or Trading Symbol  
MACOM Technology Solutions Holdings, Inc. [MTSI]

4. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

5. If Amendment, Date Original Filed(Month/Day/Year)

C/O MACOM TECHNOLOGY SOLUTIONS HOLDINGS, 100 CHELMSFORD STREET  
(Street)

Director  10% Owner  
 Officer  Other  
(give title below) (specify below)  
SVP & GM, Lightwave

LOWELL, MA 01851  
(City) (State) (Zip)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock                       | 51,907 <sup>(1)</sup>                                    | D   | À  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security | 4. Conversion or Exercise | 5. Ownership Form of | 6. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|---|---|--|---------------------------|----------------------|--|
|---|---|--|---------------------------|----------------------|--|

## Edgar Filing: Rajgarhia Vivek - Form 3

|                             | Date Exercisable | Expiration Date | (Instr. 4)<br>Title | Amount or Number of Shares | Price of Derivative Security | Derivative Security: Direct (D) or Indirect (I) (Instr. 5) |   |
|-----------------------------|------------------|-----------------|---------------------|----------------------------|------------------------------|--|---|
| Stock Option (Right to Buy) | Â (2)            | 11/13/2022      | Common Stock        | 10,000                     | \$ 32.1                      | D  | Â |
| Stock Option (Right to Buy) | Â (3)            | 11/09/2023      | Common Stock        | 20,000                     | \$ 40.25                     | D  | Â |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                          |       |
|---|---------------|-----------|--------------------------|-------|
|   | Director      | 10% Owner | Officer                  | Other |
| Rajgarhia Vivek<br>C/O MACOM TECHNOLOGY SOLUTIONS HOLDINGS<br>100 CHELMSFORD STREET<br>LOWELL, MA 01851 | Â             | Â         | Â SVP & GM,<br>Lightwave | Â     |

## Signatures

/s/ Clay Simpson,  
Attorney-in-Fact

08/17/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes (i) 5,000 restricted stock units ("RSUs") granted on March 14, 2017, which vest as follows: 1,250 RSUs vest on February 15, 2018, 1,250 RSUs vest on February 15, 2019, 1,250 RSUs vest on February 15, 2020 and 1,250 RSUs vest on February 15, 2021, provided that the Reporting Person remains in continuous service with the Issuer through each vesting date; (ii) 16,667 RSUs granted on July 20, 2016, which vest as follows: 8,333 RSUs vest on May 15, 2018 and 8,334 RSUs vest on May 15, 2019, provided that the Reporting Person remains in continuous service with the Issuer through each vesting date; (iii) 3,404 RSUs granted on March 17, 2016, which vest as follows: 1,134 RSUs vest on February 15, 2018, 1,134 RSUs vest on February 15, 2019 and 1,136 RSUs vest on February 15, 2020, provided that the Reporting Person remains in continuous service with the Issuer through each vesting date; (Footnote 1 continues in the Remarks section)
- (2) 100% of the Stock Option shall become vested and exercisable in the event that the 30 trading day trailing average closing price per share of the Common Stock meets or exceeds \$64.22 per share.
- (3) 100% of the Stock Option shall become vested and exercisable in the event that the 30 trading day trailing average closing price per share of the Common Stock meets or exceeds \$66.96 per share.

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### Remarks:

Footnote 1 continued: (iv) 7,639 RSUs granted on June 29, 2015, which vest on June 29, 2018,

Exhibit List: Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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