Edgar Filing: AMERICAN AXLE & MANUFACTURING HOLDINGS INC - Form 4

AMERICAN AXLE & MANUFACTURING HOLDINGS INC

Form 4 August 30, 2002

FORM 4

[] Check box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

1. Title of Security

U.S. SECURITIES AND EXCHANGE COMMISSION WASHINGTON, DC 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

|2. Transaction| 3. Transaction|4. Securities Acquired (A)|

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of Holding Company Act of 1935 or Section 30(f) of the Investment Company Act

Demos	David	J.		American Axle & Man (NYSE-AXL)	ufacturing Holdings, Inc.	
(Last)	(First) an Axle & Manuf	(Middle)	3.	IRS Identification Number of Reporting Person, if an Entity (Voluntary)	4. Statement for Month/Year	
Holdings, 1	Inc.	-		2.		İ
Holdings,	Inc.	48212	 			 - 7. I1 (0 X H

* If the form is filled by more than one reporting person, see instruction $4\,\mbox{(b)}\,\mbox{(v)}\,.$

TABLE I - NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFI

(Instr. 3)	Date	Code (Instr. 8)		or Disposed of (D) (Instr. 3, 4 and 5)		
	 (Month/Day/			 	(A) or	
	Year)	Code	V 	Amount	(D) 	Price
Common Stock	8/21/02	M 	 	16,591	 A 	\$ 4.26
Common Stock	8/22/02	M	' 	37,350	 A 	\$ 4.26
	8/22/02			5 , 950	•	
	1	M		6,600	l A	'
Common Stock	8/21/02	S		 16 , 591	'	\$29.54
Common Stock	8/22/02	S		49 , 900	l D	\$29.10

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FORM 4 (CONTINUED)	TABLE II I	DERIVATIVE S					•	
1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exercise Price of Deriv- ative Security	4. Trans- 5 action Code (Instr. 8) 		Deriv	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		cisable and Expiration	
	İ		į		İ			Expira-
			Code		(A)		- Exer- cisable	
Employee Stock Option (right to buy)	 \$ 4.26 -	8/21/02 	 M	 	 -		 (1) -	 10/29/(
	i -			.		 -	· ·	
Employee Stock Option (right to buy)	\$ 4.26	 8/22/02 	 M 	 	 	 37,350 -	(1)	 10/29/0
Employee Stock Option (right to buy)		 8/22/02	 M		 	 - 5,950	 - (2)	 5/19/1
		 	 			-		
Employee Stock Option (right to buy)	 \$ 8.85	8/22/02	 M			- 6,600	(3)	 4/02/1

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84,129	D	

Explanation of Responses:

- (1) On October 29, 1997, the reporting person was granted an option to purchase 134,256 shares of common stock. The option vests seven years from the date of grant or in five equal annual installments subject to the Company's satisfaction of certain performance criteria each year. After the transactions reported above, no options are vested under this award.
- (2) On May 19, 2000, the reporting person was granted an option to purchase 17,500 shares of common stock. The option vests in three substantially equal annual installments. After the transactions reported above, no options are vested under this award.
- (3) On April 2, 2001, the reporting person was granted an option to purchase 20,000 shares of common stock. The option vests in three substantially equal annual installments. After the transaction reported above, no options are vested under this award.

/s/ Michael K. Simonte	8/29/02
Signature of Reporting Person	Date
Attorney in fact	

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78 ff(a).

Note File three copies of this form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

(Print or Type Responses)